

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Kothari et al.

Serial No.: Not Yet Assigned

Group Art Unit: Unknown

Filing Date: Concurrently Herewith

Examiner: Unknown

For: DETERMINING THE POSSIBILITY OF ADVERSE EFFECTS ARISING FROM A  
CODE CHANGE

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

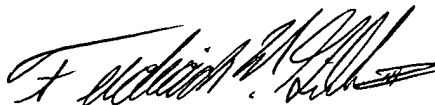
Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicants are aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 09-0441.

Respectfully submitted,



Frederick W. Gibb, III  
Registration No. 37,629

Date: 12/4/03  
McGinn & Gibb, PLLC  
2568-A Riva Road, Suite 304  
Annapolis, Maryland 21401  
(301) 261-8071  
Customer No. 28211

Substitute for form 1449/PTO and PTO/SB/08  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	
				Filing Date	
				First Named Inventor	
				Art Unit	
Examiner Name					
Sheet	1	of	2	Attorney Docket No	JP920030183US1

US PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-20030018950A1		Sparks et al	
		US-5,694,540		Hymselfine et al	
		US-6,336,217		D'Anjou et al	
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	<sup>6</sup> T
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO 98/57260 A1	12-17-1998	True Software, Inc.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.  
**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Substitute for form 1449/PTO and PTO/SB/08  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Applicant Number	
				Filing Date	
				First Named Inventor	Ravi K thari
				Art Unit	
Examiner Name					
Sheet	2	of	2	Attorney Docket No	JP920030183US1

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No <sup>1</sup>	Include name of Author (in CAPITALS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
		REIDAR CORNADI and BERNHARD WESTFECHTEL, Version Models for Software Configuration Management, ACM Digital Library, ACM Computing Surveys (CSUR), June 1998, pp 232-282, Volume 30, Issue 2.		
		SANG-UK JEONG, JOON-SANG LEE, DOO-HWAN BAE, An Automated Refactoring Approach to Design Pattern-based Program Transformations in Java Programs (Abstract), INSPEC Accession No. 7503557, 2003.		
		COBO H, MAUCO V, ROMERO, M, RODRIGUEZ, C, A Tool to Reengineer Legacy Systems to Object-oriented Systems (Abstract), INSPEC Accession No. 6709095, 2000.		
		LEE, M, OFFUTT, JA., ALEXANDER, R.T., Algorithmic Analysis of the Impacts of Changes to Object-orientated Software (Abstract), INSPEC Accession No. 6691618, 2000.		
		AJRNL CHAUMUN, M., KABAILI. H., KELLER, R.K., LUSTMAN, F., SAINT-DENIS, G., Design Properties and Object-orientated Software changeability (Abstract), INSPEC Accession No. 6514889, 2000.		
		KYUNG-HEE KIM, JAI-NYUN PARK, YONG-IK YOON, A Graph of Change Impact Analysis for Distributed Object Orientated Software (Abstract), INSPEC Accession No. 6440895, 1999.		
		KUNG, D., GAO, J., HSIA, P., WEN, F., TOYOSHIMA, Y., CHEN, C., Change Impact Identification in Object Orientated Software Maintenance (Abstract), INSPEC Accession No. 4785205, 1995.		
		GOLDMANN, S., A Model of Planning and Enactment Support in Complex Software Development Projects (Abstract), INSPEC Accession No. 7503557, 2003.		

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

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